

Individual / Corporate

Wealth Management Office :

Ground Floor, Kenanga Tower, 237 Jalan Tun Razak, 50400 Kuala Lumpur

Email: kwm.cs@kenanga.com.my

☐ Individual

☐ High Networth Individual

☐ Accredited Investor (Individual)

☐ Joint

☐ High Networth Joint Holder

☐ Staff

☐ Corporate

☐ High Networth Entity

☐ Accredited Investor (Corporate)

Sub Distributor: _____

This Form should not be circulated unless accompanied by the relevant Product Highlights Sheet(s), Prospectus(es)/Information Memorandum(s) and Supplementary Prospectus(es)/Information Memorandum(s), where applicable. Investor(s) are advised to read and understand the contents of the relevant Product Highlights Sheet(s), Prospectus(es)/Information Memorandum(s) and Supplementary Prospectus(es)/Information Memorandum(s), if any, before completing this Form. Please complete in BLOCK LETTERS only and mark (✓) where applicable.

PARTICULARS OF FIRST INDIVIDUAL APPLICANT / CORPORATE APPLICANT

You MUST be 18 years and above as at the date of this application. Please provide a copy of your NRIC or Passport.

Name as in NRIC/Passport/ Certificate of Incorporation																					
Form of Identification	☐ NRIC	☐ Passport	☐ Army/Police ID	☐ Company Registration	☐ Other ID																
Identification No.											Old IC										
Passport Expiry Date																					
Tax Identification Number											(Applicable for Malaysian Tax Resident Only)										
Sale & Service Tax Number (Corporate)																					
Date of Birth / Incorporation		-		-		Country of Birth / Incorporation															
Nationality											Malaysia Resident	☐ Yes	☐ No								
Dual Citizenship (if any)																					
Permanent / Registered Address (As per NRIC/Passport/Other ID)																					
Postcode					State																
Country																					
Mailing / Correspondence Address (If different from above)																					
Postcode					State																
Country																					
Country of Residence																					
Tel No.					-					(home)					-					(mobile)	
					-					(office)					-					(Fax No.)	
Race	☐ Malay	☐ Chinese	☐ Indian	☐ Others, please specify																	
Bumi Status	☐ Bumiputra	☐ Non-Bumiputra	Gender	☐ Male	☐ Female																
Marital Status	☐ Single	☐ Married	☐ Divorced	☐ Widowed	Number of Dependents (if any)																
Email Address																					
Education level	☐ SPM / O Level	☐ STPM / A Level	☐ Diploma	☐ Degree	☐ Postgraduate	☐ Others:															
Employment Status	☐ Salaried (years)	☐ Self-employed (years)	☐ Unemployed	☐ Others:																	
	☐ Housewife / Home Maker	☐ Student	☐ Retiree																		
Occupation																					
Annual Income (Individual)	☐ ≤RM12,000	☐ RM12,001 - RM30,000	☐ RM30,001 - RM60,000	☐ RM60,001 - RM90,000	☐ RM90,001 - RM120,000	☐ RM120,001 - RM240,000	☐ >RM240,000														
Estimate Net Worth	☐ ≤RM50,000	☐ RM50,001 - RM100,000	☐ RM100,001 - RM200,000	☐ RM200,001 - RM500,000	☐ RM500,001 - RM1 Million	☐ RM1 Million - RM3 Million	☐ >RM3 Million														

Source of Income (Individual) ☐ Employment ☐ Business ☐ Savings ☐ EPF ☐ Inheritance ☐ Others: _____

☐ Family Gift / Allowance ☐ Investment Income ☐ Rental

Please specify source (e.g. From parents / spouse / etc) _____

Source of Fund (Corporate) ☐ Business Revenue / Cash in hand / Surplus funds / Working capital

☐ Investment Income ☐ Rental ☐ Fund raising exercise such as right issue

☐ Disposal of noncore business / asset / investment ☐ Others: _____

Name of Employer / Company _____

Employer's / Company's Address _____

Postcode _____ State _____

Country _____

Nature of Business (for employment / self-employed) _____

Purpose of Investment ☐ Savings ☐ Retirement ☐ Children Education ☐ Capital Gain ☐ Regular Income ☐ Share Buy Back

☐ Others: _____

PARTICULARS OF JOINT INDIVIDUAL APPLICANT (if applicable)

Name as in NRIC/Passport/Other ID _____

Form of Identification ☐ NRIC ☐ Passport ☐ Army/Police ID ☐ Company Registration ☐ Other ID _____

Identification No. _____ Old IC _____

Passport Expiry Date _____

Tax Identification Number _____

Date of Birth - - Country of Birth _____

Nationality _____ Malaysia Resident ☐ Yes ☐ No

Dual Citizenship (if any) _____

Registered Address (As per NRIC/Passport/Other ID) _____

Postcode _____ State _____

Country _____

Correspondence Address (If different from above) _____

Postcode _____ State _____

Country _____

Country of Residence _____

Tel No. _____ - _____ (home) _____ - _____ (mobile)

_____ - _____ (office) _____ - _____ (Fax No.)

Race ☐ Malay ☐ Chinese ☐ Indian ☐ Others, please specify _____

Bumi Status ☐ Bumiputra ☐ Non-Bumiputra Gender ☐ Male ☐ Female

Marital Status ☐ Single ☐ Married ☐ Divorced ☐ Widowed Number of Dependents (if any) _____

Relationship with Principal Holder ☐ Parent ☐ Spouse ☐ Child ☐ Sibling ☐ Relative ☐ Others: _____

Email Address _____

Employment Status ☐ Salaried (_____ years) ☐ Self-employed (_____ years) ☐ Unemployed ☐ Others: _____

☐ Housewife / Home Maker ☐ Student ☐ Retiree

Occupation _____

Annual Income ☐ ≤RM12,000 ☐ RM12,001 - RM30,000 ☐ RM30,001 - RM60,000 ☐ RM60,001 - RM90,000

☐ RM90,001 - RM120,000 ☐ RM120,001 - RM240,000 ☐ >RM240,000

Name of Employer / Company

Employer's / Company's Address

Postcode State

Country

Nature of Business (for employment / self-employed)

Purpose of Investment ☐ Savings ☐ Retirement ☐ Children Education ☐ Capital Gain ☐ Constant Income ☐ Share Buy Back
☐ Others:

Source of Income (Individual) ☐ Employment ☐ Business ☐ Savings ☐ EPF ☐ Inheritance ☐ Others:
☐ Family Gift / Allowance ☐ Investment Income ☐ Rental

Please specify source (e.g. From parents / spouse / etc)

PARTICULARS OF CONTACT / AUTHORISED PERSONNEL (CORPORATE APPLICANT)

Contact Person / Authorised Personnel (1)

NRIC/Passport/Other ID Nationality

Designation

Department

Tel No. - (office) ext. Fax No. -

Office Email Address

Contact Person / Authorised Personnel (2)

NRIC/Passport/Other ID Nationality

Designation

Department

Tel No. - (office) ext. Fax No. -

Office Email Address

POLITICALLY EXPOSED PERSON (PEP) (FOR INDIVIDUAL)

I hereby declare that I am / was:

- ☐ Not a PEP or associated to a PEP
- ☐ A PEP or associated to a PEP

Defination

"PEP" means a natural person who is or has been entrusted with "Prominent Public Functions"¹ locally or in a foreign country or an international organization. Immediate family members or "close associates"² of a PEP are also deemed PEPs. I undertake to notify Kenanga Group in writing within 30 days if there is any change in the information which I have provided to Kenanga Group.

No	Name Of Person Who is/ Was a PEP	Position & Designation	Country	Whether PEP Still Holding Position?	If Customer is Associated to a PEP, what is the Customer's Relationship With the PEP?
1					
2					
3					

¹Prominent Public Functions" include the roles held by a head of state or government, government ministers, senior politicians, senior civil servants, senior judicial or military officials, senior executives of state-owned corporations, important political party officials.

²Close associates" include business partners or associates, extended family members (biological & non-biological), close friends, financially dependant individuals and individuals working closely with a PEP, prominent members of the same organization as the PEP.

POLITICALLY EXPOSED CORPORATE (PEC) OR POLITICALLY EXPOSED PERSON (PEP) (CORPORATE APPLICANT)

I/We hereby declare that the company is:

☐ Not a PEC / GLC / SOE

☐ A PEC / GLC / SOE

Defination

"PEC" is a corporation which is:

- i) associated to Politically Exposed Person (PEP)¹ by virtue of its beneficial owner, major shareholders holding more than 25% interest, directors or authorized signatories being PEPs; or
- ii) GLC / SOE
- iii) categorised by KIBB Group's 3rd party screening provider as direct or indirect GLC/SOE

No	Name of PEP in Corporate Client	Position & Designation of PEP in Corporate Client	Nationality of PEP in Corporate Client	To state PEP's Prominent Public Function (if applicable)
1				
2				
3				

To complete the table below if the corporate client declares as GLC / SOE:

No	Name of Ministry / State Entity / Government Incorporated having interest / influence in Corporate Client	% of Shareholding in Corporate Client
1		
2		
3		

¹ "Politically Exposed Person" means a natural person who is or has been entrusted with "Prominent Public Functions" locally or in a foreign country or an international organization. Immediate family members or close associates of a PEP are also deemed PEPs.

"Prominent Public Functions" include the roles held by the head of state or government ministers, senior politicians, senior civil servants, senior judicial or military officials, senior executives of state-owned corporations, important political party officials.

"GLC" or "Government-linked company" refers to a company where the government is the majority shareholder or single largest shareholder and has the ability to exercise and/or influence major decisions such as appointment of board members and senior management.

"SOE" or "State-owned enterprise" refers to an entity where the government (federal or state level) exercises ownership directly by a government ministry, department or agency or indirectly through a government-linked investment company, statutory body or a public sector agency. SOEs include state-owned corporations or companies (SOCs) and may also take the form of statutory bodies that undertake commercial activities.

ULTIMATE BENEFICIARY OWNERSHIP (UBO) (CORPORATE APPLICANT)

In compliance with the Securities Commission's Guidelines on Prevention of Money Laundering and Terrorism Financing for Reporting Institutions in the Capital Market, Kenanga Investment Bank Berhad ("KIBB") is obliged to identify and collect information on each investor's ultimate beneficial owner. Please furnish the corporate client's Group Shareholding Structure.

Please note that all the information collected will be used for due diligence purposes and KIBB may be legally obliged to share this information to the relevant parties/authorities.

TYPE OF CORPORATION

Please choose and tick (✓) either one of the corporation types below.

☐ Companies (Private & Public)
 ☐ Partnership
 ☐ Government-linked company / State-owned enterprise
 ☐ Club / Society / Association / Foundation
☐ Co-operative
 ☐ Trust
 ☐ Charities / Non-Governmental Organization
 ☐ Others (Please specify)

Note: Government-linked companies ("GLC") refers to a company where the government is the majority shareholder or single largest shareholder and has the ability to exercise and/or influence major decisions such as appointment of board members and senior management.

State-owned enterprise ("SOE") refers to an entity where the government (federal or state level) exercises ownership directly by a government ministry, department or agency or indirectly through a government-linked investment company, a statutory body or public sector agency. SOEs include state-owned corporation or companies, and may also take the form of statutory bodies that undertake commercial activities.

DETAILS OF ULTIMATE BENEFICIAL OWNER(S)

I/We hereby declare the ultimate beneficial owner(s) as follows:

Please tick (✓) where applicable.

☐ 1. Individual person(s) who hold more than 25% direct/indirect shareholdings of the entity and/or has ultimate controlling ownership interest of the entity. If none, go to 2

☐ 2. Individual person(s) who exercise(s) ultimate effective control* over an entity. If none, go to 3

*This may include exercise effective control over an entity if he has the powers and authority to take actions and make decisions for the entity, including on matters relating to its financial affairs, financial relationships, operations or other matters that may fundamentally affect the business or direction of the entity, without having ownership interest over the entity. Such powers may be attained through other means, such as:

(i) Reflecting dominant influence to appoint or remove directors/senior management;

(ii) Having the power of attorney over the entity;

(iii) Owning stocks or rights over outstanding debts that are convertible into voting equity;

(iv) Participating in the financing of the enterprises; or

(v) Having control through trusts, agreements, arrangements, understandings, policies or practices, close and intimate family relationships or if a company defaults on certain payments.

Please specify how Beneficial Owner (BO) exercise ultimate effective control.

☐ 3. The individual person(s) who hold the position of senior management* within the entity.

* Senior management refers to persons who exercise executive control over the daily or regular affairs of the entity, which may include, but are not limited to, directors, deputy directors, Board members, chief executive officer, chief financial officer, chief operating officer, or any individual performing similar management functions.

Please provide details of the ultimate beneficial owner(s) below.

Ultimate Beneficial Owner - 1

Full Name:	
NRIC / Passport No.: (Dual citizenship, if any)	
Permanent Address : (as per NRIC/Passport/Other ID)	
Correspondence Address : (if different from above)	
Nationality :	
Date of birth :	
Contact Number :	
Email :	
Name of employer / Company:	
Nature of business (for employment/self-employed)	
Occupation & Position:	
Purpose of transaction	
Shares, if any (%) (To specify whether direct/indirect Shareholding)	
Relationship with the client:	

Ultimate Beneficial Owner - 2

Full Name:	
NRIC / Passport No.: (Dual citizenship, if any)	
Permanent Address : (as per NRIC/Passport/Other ID)	
Correspondence Address : (if different from above)	
Nationality :	
Date of birth :	
Contact Number :	
Email :	
Name of employer / Company:	
Nature of business (for employment/self-employed)	
Occupation & Position:	
Purpose of transaction	
Shares, if any (%) (To specify whether direct/indirect Shareholding)	
Relationship with the client:	

TERMS AND CONDITIONS

You must read and understand the relevant Prospectus(es)/Information Memorandum(s) and deed(s) which shall be made available upon request before investing in the Fund(s).

1. MINIMUM INVESTMENT

- Initial and subsequent investments must be for a minimum amount stated in the Fund's Prospectus(es)/Information Memorandum(s) based on the Fund's closing Net Asset Value/Unit on the day.

2. FIRST INDIVIDUAL APPLICANT

- Applicant must be 18 years old and above.
- Please enclose a photocopy of your identity card or passport.

3. JOINT INDIVIDUAL APPLICANT

- Please enclose a photocopy of your identity card or passport or birth certificate (for minor).
- If aged 18 years and above, he/she is also required to sign the application form.
- In the case of death of a joint-holder, the surviving holder will be the only person(s) recognised by Kenanga Investment Bank Berhad ("KIBB") and the Trustee as having any title to or interest in the units.
- In the absence of written explicit instructions, I/we acknowledge that instructions must be given by both of us (for aged 18 years and above).

4. CORPORATE APPLICANT

- Please enclose a photocopy of the Memorandum & Articles of Association or Constitution, Company's latest audited accounts, List of Authorised Signatories and Specimen Signatures.
- For a corporation, the Common Seal or the Company Stamp will have to be affixed. If the Company Seal is used, an Authorised Officer must sign and state his/her representative capacity.
- Certified True Copy (by Company Secretary, if applicable) of the Board Resolution, Form 9 (Section 17 or 15 or 14 - CA 2016), Form 13 (Section 28 - CA 2016) (if applicable), Form 24 (Section 78 or 68 or 51 - CA 2016), Form 44 (Section 46 or 14 - CA 2016), Form 49 (Section 58 or 14 - CA 2016) and latest Annual Return or the equivalent documents.

5. RIGHTS OF KIBB

- Kenanga Investment Bank Berhad ("KIBB") reserves the right to reject any applications without giving any reasons or reject any Fund Application Form which is not completed in full and supported by the requested documents and payments.
- The Applicants's personal data and information shall also be governed by the terms and conditions set out in KIBB's Privacy Policy, as may be amended or supplemented from time to time. For the most current version of the Privacy Policy at all material times, please refer to the posting on KIBB's corporate website or <http://www.kenanga.com.my>. The Applicant is advised to obtain a copy of the Privacy Policy from the servicing consultant or website and read and understand the Privacy Policy before submitting the applications to KIBB.

6. CLIENT SERVICES

- Should you require further information or clarification, please contact us at kwm.cs@kenanga.com.my.

7. NOTICES AND COMMUNICATIONS

- All notices and other communications sent by or to the applicant shall be sent at the risk of the applicant unless due to willful default or negligence of KIBB, KIBB shall not be responsible for any inaccuracy, interruption, error delay or failure in transmission or delivery of any notices via whatever means, or for any equipment failure or malfunction. KIBB shall not be liable for any direct or indirect consequential losses arising from the foregoing. Notices delivered via electronic communications to applicant are deemed sent and received on the date communication is sent.

LOAN FINANCING RISK DISCLOSURE STATEMENT (IF APPLICABLE)

Investing in a Unit Trust Fund with Borrowed Money Is More Risky than Investing with Your Own Savings

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:-

- The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
- You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
- Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

I/We acknowledge that I / we have read and understood the contents of the investment Loan Financing Risk Disclosure Statement. I/We do declare and represent that as at the date hereof, I/we am/are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us. With the completion of this form, it constitutes that I have read, understood and agreed to be bound by the notes, terms and conditions stated in this form. I/We also accept and acknowledge that KIBB has absolute discretion to reply on facsimile confirmation from me/us and undertake to indemnify and hold harmless KIBB, its employees and agents at all costs, expenses, loss of liabilities, claims and demands arising out of this confirmation.

DECLARATIONS AND SIGNATURES

INDIVIDUAL / JOINT APPLICANT

- I am/We are 18 years and above as at the date of this application. Copy/Copies of my/our NRIC/passport/birth certificate is/are enclosed.
- (For joint applicant only) In the absence of written explicit instructions, I/we acknowledge that instructions must be given by both of us (for aged 18 years and above).
- I/We do declare and represent that as at the date hereof, I/we am/are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us.

CORPORATE APPLICANT

- I am/We are duly authorised officer(s) of the Corporation, and warrant that the Corporation has the power and capacity to enter into this agreement and undertake transactions involving the Fund(s). Attached is a certified true copy of the Corporation's list of authorised signatories.
- I/We, as director(s) of the Corporation do hereby declare that the Corporation is a legally incorporated Corporation. Copy of my/our certificate of incorporation is enclosed.
- I/We the abovenamed authorised signatories and representatives for the Company, do declare and represent that as at the date hereof, no petition for winding-up has been filed against the Company nor any receiver has been appointed over any of its assets.

WITH THE COMPLETION AND EXECUTION OF THIS FORM, I/WE, THE ABOVENAMED UNITHOLDER(S), AGREE THAT ALL ELECTRONIC INSTRUCTIONS, ELECTRONIC COMMUNICATIONS, OR ELECTRONICALLY TRANSMITTED INSTRUCTIONS ("EIC") ARE CONCLUSIVE AND IRREVOCABLE AS TO ITS TERMS AND CONTENTS. I/WE FURTHER ACKNOWLEDGE AND ACCEPT THAT KIBB:

- (a) IS HEREBY AUTHORISED AND HAS ABSOLUTE DISCRETION TO RELY ON EIC(S) FROM ME/US FOR MY/OUR SUBSEQUENT TRANSACTIONS WITH KIBB;
- (b) SHALL NOT BE RESPONSIBLE OR INCUR ANY LIABILITY(IES) TO ME/US ARISING OUT OF OR IN CONNECTION WITH KIBB ACTING IN ACCORDANCE WITH THE EIC(S), NOTWITHSTANDING ANY ERROR, MISUNDERSTANDING, FRAUD OR LACK OF CLARITY IN THE TERMS OF SUCH INSTRUCTION(S), AND WHETHER OR NOT SUCH EIC(S) WAS/WERE MADE OR GIVEN WITH OR WITHOUT THE AUTHORISATION OF THE ABOVENAMED UNITHOLDER(S); AND
- (c) I/WE FURTHER UNDERTAKE TO INDEMNIFY AND HOLD HARMLESS KIBB, ITS EMPLOYEES AND ITS UNIT TRUST CONSULTANTS AGAINST ALL COSTS, EXPENSES, LOSSES, LIABILITIES, CLAIMS AND DEMANDS ARISING OUT OF (WHETHER DIRECTLY OR INDIRECTLY OR IN CONNECTION WITH) KIBB HAVING ACTED ON THE EIC(S). CANCELLATION OF REDEMPTION IS NOT ALLOWED ONCE THE REQUEST HAS BEEN FORWARDED TO KIBB.

DECLARATION BY INDIVIDUAL / JOINT APPLICANT(S) AND CORPORATE APPLICANTS

- I/We appoint KIBB as nominee to transact on behalf of me/us in relation to any transactions.
- I/We hereby agree that KIBB shall have the right to vote for and on behalf of me/us in respect of the units held in the event of any unitholders' meeting convened for the Fund(s).
- KIBB will hold the purchased units as registered unitholder for and on behalf of me/us and is authorised to request payment of and receive all distributions and other payments or distributions in relation to the units.
- Transactions in the units may be aggregated and consolidated either daily or from time to time by KIBB with such transactions placed or sent by KIBB to the relevant manager of the fund.
- I/We agree that the third party fund investment made by me/us will be registered in the name of Custodian appointed by KIBB as bare custodian and not a trustee to hold and act for and on behalf of me/us in relation to any units of such third party funds as may be invested in from time to time by me/us, and KIBB shall not recognise any trust or equity in respect of these terms and conditions or any part thereof.
- I/We undertake to provide KIBB with all information as it may require for the purpose of and in connection with completing the Account Application Form, including but not limited to, my/our information on financial position(s), condition(s), or prospect(s), or the Corporation and its group of companies' information on financial position, condition, operation business or prospect.
- I/We acknowledge that I/We shall keep KIBB informed of any change of the information stated in this Account Application Form and/or of any material facts that will directly or indirectly, affect my/our financial position(s), condition(s) or prospect(s) or the Corporation and its group of companies' financial position, condition, operation, business or prospect within thirty (30) days of such change.
- I/We agree that my/our information and/or documents relating to me/us, including any changes or updates thereto as and when provided by me/us, may be disclosed or made available by KIBB, including but not limited to its employees, agents, advisers, third party service providers, custodians, sub-custodians and their agents or advisers, companies within the Kenanga Group, Bursa Malaysia Securities Berhad, Bursa Malaysia Derivatives Berhad, Bursa Malaysia Depository Sdn Bhd, Bursa Malaysia Clearing Sdn Bhd, the Securities Commission Malaysia, relevant foreign exchanges and any relevant regulators, governmental authorities or statutory bodies, where required for business, operational, legal, regulatory or compliance purposes.
- I/We shall not hold KIBB, its subsidiaries or affiliates, or any of their respective directors, officers, employees or agents responsible or liable for disclosure of such information howsoever arising including but not limited to by reason of any misstatement, error, negligence, omission, delay or any matter in connection thereto.
- Neither KIBB nor any of its agents shall be liable for any direct, indirect, special or consequential damages which may be suffered by me/us, such as, but not limited to, loss of anticipated profits or other anticipated economic benefits, whatsoever or howsoever caused, whether in contract or in tort (which includes but is not limited to negligence), arising directly or indirectly in connection with or arising out of these terms and conditions of the units.
- I/We hereby agree and irrevocably undertake to fully and completely indemnify KIBB, at all times and against all claims, demands, actions, suits, proceedings, losses, penalties, costs and expenses, whether arising directly or indirectly, which may be made or taken against KIBB or incurred or suffered by KIBB in connection with or in any manner out of KIBB acting or on my/our instruction(s) or consent(s) as stated above and any inaccuracy(ies) of the instruction(s) provided and declarations herein.
- I/We declare that I am/we are in compliance and undertake that I/we will comply with all applicable laws and regulations.
- I/We further declare that I am/We are neither engaged in any unlawful activity nor are the Corporation's monies obtained from any illegal source or related to any illegal activity.
- I/We hereby declare and acknowledge that I/We have sole legal and proprietary right over all monies accompanying this application for the purpose of due diligence/enhanced due diligence as required under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 ("AMLA").
- I/We undertake to provide such information and documents as KIBB may reasonably require for the purpose of due diligence/enhanced due diligence as required under the AMLA.
- I/We confirm to KIBB that the Company/I am/we are not involved in any money laundering, terrorism financing, proliferation financing activities within the meaning of Section 3 of the AMLA. I/We further agree and accept that KIBB has a legal obligation under the AMLA (including any amendments to such law, and any guidelines issued or to be issued pursuant thereof by any regulator, authority and/or statutory body) ("AMLA Legislations"), which amongst others require KIBB to identify and verify the source of funds. In this regard, I/We shall disclose accurate information on the source of funds and shall ensure that it is not derived from any form of illegal or unlawful activities. I/We hereby also authorize KIBB to reveal any information related to my/our account(s) or myself/ourselves to any such regulatory/statutory bodies or authorities without giving me/us notice nor first obtaining my/our approval(s). I/We further agree to abide and comply with any directives or orders which may be issued and imposed by the respective regulators, authorities and/or statutory bodies from time to time and further undertake to give any required evidence and/or documentation required by Kenanga Group, the respective regulators, authorities and/or statutory bodies for the purpose of complying with the AMLA Legislations in the applicable jurisdiction where the transactions are to be executed from time to time. Failure to comply may result in criminal sanctions against me/us and KIBB reserves the right to freeze or terminate my/our account(s) pending supply of all requested particulars/information. In such an event, I/We shall have no claim and/or cause of action whatsoever against Kenanga Group in respect of any losses, liabilities, damages, claims, interest/profit, charges, expenses, costs and any other adverse consequences of whatsoever nature or howsoever incurred / suffered / sustained by me/us.
- I/We are not subject to any sanctions administered by the United Nations Security Council, OFAC, HM Treasury, European Union or any applicable authority.
- I/We hereby acknowledge that KIBB has the right to reject an application at its absolute discretion without any reason.

APPLICANT'S ACKNOWLEDGEMENT AND SIGNING INSTRUCTION

I acknowledge that I have received, read and understood the relevant Disclosure Document(s), Deed(s), Supplemental Deed(s) (if any), Product Highlights Sheet(s) (if any), the Terms and Conditions of this Form, KIBB's Common Terms and Conditions, Privacy Policy and the terms of any facility offered by KIBB in relation to my PRS membership, and undertake to be bound by them. I further acknowledge that the latest versions of KIBB's Common Terms and Conditions, Privacy Policy and facility terms are available on KIBB's corporate website at www.kenanga.com.my.

Signing instruction for individual/joint account only. Please select one of the following instructions:

☐ All to sign

☐ First Applicant to sign only

☐ Either one to sign. We hereby understand and agree that either one of us can have the right to give instruction to conduct any transaction for this account and we release and indemnify the Manager, the Trustee and the Fund against any claims and demands in respect of any liability arising as a result of the Manager acting upon this signing instruction even if those instructions are not genuine.

First Applicant/Authorised Signatory(ies) Name Date

Joint Applicant/Authorised Signatory(ies) Name Date



FOR UTC's USE ONLY

DECLARATION BY UT CONSULTANT

- I agree to abide by all the relevant policies of Kenanga Investment Bank Berhad (KIBB) and applicable laws including amendments as may be in force from time to time.
- I hereby confirm that I have sighted the original personal identity documents (i.e. either NRIC or passport) of the Applicant and I have completed the verification of the identity of the Applicant and all details provided in this application correspond with my records, and agree to make such relevant documentation available to KIBB on request.
- I hereby confirm that I witnessed the signature of the Applicant and I confirm that the particulars of the Applicant stated herein to be true and correct.

Note:
You are required to verify the identities and address of all parties and have adequate records to demonstrate that fact under the local Anti-Money Laundering and Anti-Terrorism Financing Laws, Guidelines and/or Regulations. You should ensure that the names and address of the Applicant are accurate and complete and in accordance with the relevant records.

Branch Code _____ Name / Stamp of UTC _____ Code of UTC _____ Date _____

FOR OFFICE USE ONLY

Processed By & Date _____ Checked By & Date _____